



2692

Customer Number

Patent

Case No.: 58961US002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: ROSENFLANZ, ANATOLY Z.
Application No.: 10/666098 Group Art Unit: 1755
Filed: September 18, 2003 Examiner: Elizabeth Bolden
Title: CERAMICS COMPRISING Al_2O_3 , Y_2O_3 , ZrO_2 AND/OR HfO_2 , AND Nb_2O_5
AND/OR Ta_2O_5 AND METHODS OF MAKING THE SAME

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

- ☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306.

Oct. 26, 2004

Date

Lisa Hengen

Signed by: Lisa Hengen

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner.


Copies of any cited foreign patents, foreign publications, non-patent literature documents; and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

October 11, 2004
Date

By: 
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Office of Intellectual Property Counsel
3M Innovative Properties Company
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Substitute for form 1449A/PTO (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Application Number	10/666098
Filing Date	September 18, 2003
First Named Inventor	Rosenflanz, Anatoly Z.
Art Unit	1755
Examiner Name	Elizabeth Bolden
Attorney Case Number	58961US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 4,111,707	09/05/1978	Komorita et al.	
	A2	US- 4,789,501	12/06/1988	Day et al.	
	A3	US- 5,747,397	05/05/1998	McPherson et al.	
	A4	US- 6,251,813 B1	06/26/2001	Sato	
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1	JP	60221338	11/06/1985			Abstract
	B2						
	B3						

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
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*Examiner:	Date Considered:
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